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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q91901

Ikuo YAMAMOTO, et al.

Appln. No.: 10/559,765

Group Art Unit: 1712

Confirmation No.: 8041

Examiner: Not Yet Assigned

Filed: December 7, 2005

For: SILICON

SILICON-CONTAINING FLUOROCHEMICAL SURFACE-TREATING AGENT

INFORMATION DISCLOSURE-STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

EFS-Web Receipt date: 11/01/2006 10559765 - GAU: 1796

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/559,765

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents (Supplementary

European Search Report for EP 04 73 6267 dated September 27, 2006), together with an

English-language version (if not already included) of at least that portion of the Communication

indicating the degree of relevance found by the foreign patent office. Additionally, Applicants

submit English language Abstracts for JP 7-10937 and JP 9-324173.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted,

Abraham J. Rosner

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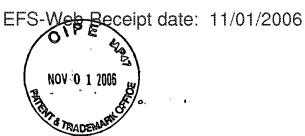
WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: November 1, 2006

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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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WASHINGTON OFFICE 23373
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MODIFIED PTO/SB/08 A & B (08-03)

	Complete if Known			
Substitute for Form 1449 A & B/PTO	Application Number	10/559,765		
OVPE INFORMATION DISCLOSURE	Confirmation Number	8041		
STATEMENT BY APPLICANT	Filing Date	December 7, 2005		
· · · · · · · · · · · · · · · · · · ·	First Named Inventor	Ikuo YAMAMOTO		
NOV: 0 1 2006 y (use as many sheets as necessary)	Art Unit	Not Yet Assigned		
	Examiner Name	Not Yet Assigned		
l of l	Attorney Docket Number	Q91901		
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U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document	Number	Publication Date			
		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Documen		
		US					
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FOREIGN PATENT DOCUMENTS							
	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
	No.1	Country Code ³	Number ⁴		MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		JP	7-109317		04-25-1995	SHIN ETSU CHEM CO LTD	Abstract
		wo	97/11218		03-27-1997	E.I. DU PONT DE NEMOURS AND COMPANY	
		JP	9-324173		12-16-1997	DAIKIN IND LTD	Abstract
		wo	02/40603		05-23-2002	3M INNOVATIVE PROPERTIES COMPANY	
		EP	1 640 387	Al	03-29-2006	DAIKIN INDUSTRIES, LTD.	
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*			
			

Examiner Signature	/Kuo Liang Peng/	Date Considered	05/14/2008	
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.P./

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.